



UZen Securities Limited
眾宸證券有限公司

CE No.BFY678 中央編號：BFY678

Commission & Charges 佣金及收費

	Commission 佣金		Remark 備注
1	Offline Trading 電話落盤	0.25% of transaction amount (Minimum Charge \$100) 成交金額的 0.25% (最低收費\$100)	
2	Internet Trading 網上交易	0.15% of transaction amount (Minimum Charge \$70) 成交金額的 0.15% (最低收費\$70)	
	Charges 收費		
3	Stamp duty 印花稅	0.1% of transaction amount (rounded up to the nearest dollar) 成交金額的 0.1%(以整數計算，成交金額每港幣 1000 元收港幣 1 元)	collected for HKSAR Government 香港特區政府收取
4	Transaction levy 交易徵費	0.0027% of transaction amount 成交金額的 0.0027%	collected for the SFC of Hong Kong 香港證監會收取
5	HKEx trading fee 交易費	0.005% of transaction amount 成交金額的 0.005%	collected for the HKEX 香港交易所收取
6	CCASS Stock Settlement Fee 結算系統交收費用	0.002% of Transaction Amount (Maximum charge: HKD100, Minimum Charge \$2) 成交金額的 0.002% (最高收費\$100 元, 最低收費\$2)	
7	Platform Fee 平台費	\$5 per order 平台使用費每單\$5	
7	Stock receipt and delivery instruction 股票轉倉指示	ISI - Free 免費 SI - Per stock HKD 200 - Free for settlement purposes SI - (以每隻股票計算)HKD 200	We pay CCASS for our customers ISI- \$1 each time SI- \$1 each time, plus 0.002% of settlement fee of the transacted value (Min \$2 per order, Max \$100 per order) 本公司代客戶繳付予香港結算公司： ISI - 每次 \$1 SI - 每次 \$1 加交易金額 0.002% 之股票交收費 (每單交易最低為 \$2，最高為 \$200)
8	Scrip Custody Fee 股票保管費	Free 免費	CCASS charges \$0.012 per lot per month, up to \$100,000 per month. Odd lot is counted as 1 lot 香港結算公司則收取每手每月 \$0.012，最高為每月 \$100,000，碎股亦視作一手
9	Collection Fee of preferred shares/Options/ Rights /stocks issues substituting dividends 代收紅股/認股證/供股權證/以股份代息	Free 免費	
10	Scrip Deposit Fee 股票存倉費	Free 免費	
11	Physical Scrip Withdrawal Charge 實貨股票提取費	\$5 per lot, odd lot is counted as 1 lot 每手 \$5，碎股亦視作一手	\$3.5 per lot is charged by CCASS. Odd lot is counted as 1 lot 其中每手 \$3.5 代香港結算公司收取，碎股亦視作一手
12	Transfer Stamp duty 轉手釐印費	\$5 per each new transfer 每張新轉手紙收取 \$5	collected for HKSAR Government 香港特區政府收取
13	Collection Fee of dividends 代追收股息委託費	\$500 per order plus 0.5% dividend as handling charge. Min handling charge is \$20. Max is \$10,000 每筆 \$500 + 0.5% 股息手續費 (手續費最低為 \$20，最高為 \$10,000)	Includes the charge from CCASS \$200, plus 0.12% dividend handling fee. Min of handling fee is \$20 and max is \$10,000 已包括香港結算公司則收取 \$200 + 0.12% 股息手續費 (手續費最低為 \$20，最高為 \$10,000)
14	Fee For Rights Exercise/Options Exercise 供股權及認股權認購	Each order \$100 plus \$0.80 per lot for CCASS. Max is \$10,000 每單 \$100 + 每手 \$0.80 (最高為 \$10,000)	Includes the charge from CCASS \$200, plus 0.12% dividend handling fee. Min of handling fee is \$20 and max is \$10,000 其中每手 \$0.80 為香港結算公司收取 (最高為 \$10,000)
15	IPO Application charge 代辦認購新股手續費	Cash Application : \$20 each time 現金申請：每次收費 \$20 Margin Application : \$100 each time 孖展申請：每次收費 \$100	



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16	Handling fee for collection of dividend/ bonus 代收股息/紅利手續費	0.5% of dividend amount. (min \$20 max \$10,000) 0.5% 按股息金額計算 (最低為 \$20，最高為 \$10,000)	0.12% is charged by CCASS (Max is \$10,000) 其中 0.12% 為香港結算公司收取 (最高為 \$10,000)
17	Scrip Fee 股票過戶費	HKD1.5 per board lot (Round up to the nearest board lot) 每手港幣 1.5 元 (不足 1 手,作 1 手計算)	Scrip Fee are charged by CCASS 股票過戶費為香港結算公司收取
18	Nominee Service & Corporate Actions Fee 代理人服務及企業行動手續費	HKD100 handling fee per stock per request and HKD0.80 per board lot collected by CCASS(round up to the nearest board lot) 每隻股票每次手續費港幣 100 元+香港結算收取每手港幣 0.80 元(不足 1 手,作 1 手計算)	Subscription of Rights/Warrants, Privatization, Open Offer etc. 代供股權/認股證、私有化、收購等
19	Returned cheque 退票手續費	\$100 / transaction 每次\$100	
20	Cheque terminated payment order 支票停止付款	\$100 / transaction 每次\$100	Termination of cheque payment after deposited (penalty received on behalf of bank) 支票存入客戶的銀行戶口後，如需銀行停止該項入數 (代銀行收取)
21	Retrieving Monthly Statement handling fee 補回月結單手續費	\$50 per month/per account 每月\$50	For retrieving statements 3 months ago or over (within 3months will free of charge) 要求補發 3 個月或之前的月結單(3 個月之內免費)

Note: Charges & fees are subject to change without prior notice.

THE PRICES OF SECURITIES FLUCTUATE, SOMETIMES DRAMATICALLY. THE PRICE OF A SECURITY MAY MOVE UP AND DOWN, AND MAY BECOME VALUELESS. IT IS LIKELY THAT THE LOSSES WILL BE INCURRED RATHER THAN PROFIT MADE AS A RESULT OF BUYING AND SELLING SECURITIES.

註: 本公司將不時檢討服務收費而不作另行通知。

證券價格有時可能會非常波動。證券價格可升可跌，甚至變成毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。

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